

ECF

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK
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Judge PATTERSON
Mag. Judge FREEMAN

DEUTSCHE BANK SECURITIES, INC.,

Plaintiff,

RULE 7.1 STATEMENT

-v-

JP MORGAN CHASE, INC., BRIAN
ZEITLIN, and YALE J. BARON,

Defendants.
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Pursuant to Federal Rule of Civil Procedure 7.1 [formerly Local General Rule 1.9] and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualifications or recusal, the undersigned counsel for DEUTSCHE BANK SECURITIES, INC. (formerly known as Deutsche Banc Alex Brown Inc. ("Deutsche Bank")), a private non-governmental party, certifies that the following are corporate parents, which are publicly held, Deutsche Bank AG, Taunus Corporation, Deutsche Bank Americas Holding Corp., Deutsche Bank Trust Corporation, and DB U.S. Financial Markets Holding Corporation. Deutsche Bank further certifies that, other than the aforementioned corporate parents, there is no publicly held corporation that owns 10% or more of Deutsche Bank Security Inc.'s Stock.

Dated: New York, New York
October 6, 2004.

DORSEY & WHITNEY LLP

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